

SWARNA SECURITIES LIMITED

(CIN: L52520AP1990PLC011031)

Ph: 0866-2575928

21/04/2024

To
**The Corporate Relationship Department,
BSE Limited, 1st Floor, New Trading Ring,
Rotunda Building, P.J. Towers,
Dalal Street, Fort, Mumbai — 400 001**

Dear Sir / Madam,

Sub: SDD Compliance Certificate for the Quarter and Year ended March 31, 2024

Ref.: Scrip code: 531003; Stock Symbol: Swarna

Pursuant to provisions of Regulation 3(5), 3(6) and other applicable provisions of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015, please find enclosed the Structured Digital Database (SDD) Compliance Certificate for the Quarter and Year ended March 31, 2024.

Please take the same on record.

Thanking You,

Yours Faithfully,

For **Swarna Securities Limited**

ANIL KUMAR MOOLA
Digitally signed by ANIL
KUMAR MOOLA
Date: 2024.04.21
12:24:14 +05'30'

Moola Aneel Kumar
Company Secretary & Compliance Officer
Membership No: A27989

Encl: As above

CS KODE HEMACHAND

PRACTICING COMPANY SECRETARY



COMPLIANCE CERTIFICATE FOR THE QUARTER AND YEAR ENDED 31ST MARCH, 2024

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, **Kode Hemachand**, Practicing Company Secretary appointed by **Swarna Securities Limited (CIN: L52520AP1990PLC011031)** am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that:

1. the Company has a Structured Digital Database in place
2. control exists as to who can access the SDD
3. all the UPSI disseminated in the previous quarter have been captured in the Database
4. the system has captured nature of UPSI along with date and time
5. the database has been maintained internally and an audit trail is maintained
6. the database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture **One** number of events during the quarter ended and has captured **One** number of the said required events.

I would like to report that the following noncompliance(s) was observed in the previous quarter and the remedial action(s) taken along with timelines in this regard: NIL

Kode Hemachandra
Company Secretary in Practice
M.No.35463, CP. No. 13416
ICSI UDIN: A035463F000201926

Place: Vijayawada
Date: 21.04.2024

D No : 59/A-28-3/1, 1ST Floor, More Super Market, Gurunanak Colony, Vijayawada – 520010,
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